# Liquid Capital Statement

for the month of 31-JUL-18

## of M/s. Habib Metropolitan Financial Services Lt

Submission Date 13-AUG-2018 17:19:23

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1 Assets					
1. Assets 1.1 Property & Equipment 1.1 Property & Equipment 1.2 Property & Equipment 1.2 Property & Equipment 1.3 Internation Foods Securities 1.4 International Transport Control of the	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
1.1 Property & Equipment 1.2 Interpretation April 1.2 Interpretation for the Securities 1.3 Investment in Cedes Securities 1.4 Investment in Cedes Securities 1.5 Securities in Debt. Securities in the case of tenue upto 1 year. 1.5 Securities in Debt. Securities in 1.9 Securities in 1.0 S			rak Kupee	Adjustments	value
To Cypering year. Descriptions of the Companies of the Co	1	Assets			
12   Intended Asset   95,410,042   95,410,	1.1	Property & Fauinment	1,141,374	1,141,374	
Investment in Debt. Securities			27,523	27,523	
If Itsided than:  I 75% of the bathings shed value, in the case of feruse from 1.3 years.  I 75% of the bathings shed value, in the case of feruse from 1.3 years.  I 10% of the bathings shed value, in the case of feruse from 1.3 years.  I 10% of the bathings shed value, in the case of feruse from 1.3 years.  I 10% of the bathings shed value, in the case of feruse from 1.3 years.  I 10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value, in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value in the case of feruse from 1.3 years.  I 1.10% of the bathings shed value in the case of the value in the cas	1.3	Investment in Govt. Securities			99,410,042
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ii. 10% of the balance sheet value, in the case of tenure upto 1 year.  I furnished than:  I 10% of the balance sheet value in the case of tenure upto 1 year.  I 10% of the balance sheet value in the case of tenure upto 1 year.  In vestment in capity Securities.  I restance the capity Securities in the case of tenure form 1-2 years.  In vestment in capity Securities.  I restance 10% of campting using.  In the capity of					
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espective securities whichever is higher.  It if united. 100% of carrying value.  It is subsciption money against interstment in iPOloffer for Sale-Amount paid as subscription money provided that there have not heven allored or an not included in the investment of recurities broker provided that there have not heven allored or an not included in the investment of recurities broker.  It is a training to the provided of the provided of the provided in the investment in through the provided in the provided in the provided in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Stock Exchange / Clearing House against Margian Financing requirements or piedged in favor of Broker for the Requirement in successions in such eases, the hardcut as provided in such as a such as	1.5	Investment in Equity Securities	40 404 000	4 500 400	0.000.070
II. Furnisted 10% of carving value.			10,461,268	1,569,190	8,892,078
provided that shares have not been alloted or are not included in the investments of securities tooker.  In 1909, In 190					
in v.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pelogy status as on reporting date; (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Peloged in favor of Banks against Short ferm financing requirements or pledged in favor of Banks against Short ferm financing are single shares the shares of the period of th					
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1.15   I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months   II. Receivables other than trade receivables   19,227,434   19,227,434   19,227,434   19,227,434   11.16   Receivables from clearing house or securities exchange(s)   I. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.   II. Receivable on entitlements against trading of securities in all markets including MtM gains.   98,225,776   0   98,225,776					
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1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 12,682,336 3,768,733 3,		<del></del>			
i. 10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  PRECEIVABLES from Customers  I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  I. Lower of net balance sheet value or value determined through adjustments.  Ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  Iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount after deducting haircut  IV. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  I. Bank Balance-proprietory accounts	1 16		19,227,434	19,227,434	
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ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts  59,427,188 0 59,427,188			3,327,444	3,327,444	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and held in sub-accounts after applying VAR based  haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  59,427,188  0 59,427,188			98,225,776	0	98,225,776
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iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances i. Bank Balance-proprietory accounts  1.18  O 59,427,188  O 59,427,188					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 3,768,733 5,768,733			57,458,633	0	57,458,633
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  59,427,188  0 59,427,			12 602 226	2 760 722	2 760 720
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  59,427,188  0 59,427;			12,002,330	3,700,733	3,700,733
securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  59,427,188  0 59,427,					
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 59,427,188 0 59,427,		securities held as collateral after applying VaR based haircuts.			
i. Bank Balance-proprietory accounts 59,427,188 0 59,427,	1 10		3,442,324	3,442,324	
	1.18		FO 407 400	_	E0 407 100
THE BACK DATABORE - CUSTOMET ACCOUNTS TO A 1/10 ORD TO THE STATE OF TH		i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	59,427,188 148,980,347		

# Liquid Capital Statement

for the month of 31-JUL-18

## of M/s. Habib Metropolitan Financial Services Lt

Submission Date 13-AUG-2018 17:19:23

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s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	640,375,939	166,780,059	567,861,052
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	270,596,857	0	270,596,857
2.2	Current Liabilities	210,000,001	0	210,000,001
	i. Statutory and regulatory dues	324,016	0	324,016
	ii. Accruals and other payables	10,686,766	0	10,686,766
	iii. Short-term borrowings iv. Current portion of subordinated loans	24,106,165	0	24,106,165
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	305,713,804	0	305,713,804
3	Ranking Liabilities Relating to:	, ,		, ,
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
•			<u> </u>	

# Liquid Capital Statement

for the month of 31-JUL-18

## of M/s. Habib Metropolitan Financial Services Lt

Submission Date 13-AUG-2018 17:19:23

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open positions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	334,662,135	166,780,059	262,147,248